



ATTORNEY DOCKET NO.: SP00-095

DAC  
IFW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor: Venkata Adiseshaiah  
Bhagavatula, et al.

Serial No: 09/812108

Group Art Unit: 2874

Filing Date: March 19, 2001

Examiner: Jose' G. Dees

Title: OPTICAL WAVEGUIDE  
LENS AND METHOD OF  
FABRICATION

**RECEIVED**

APR 20 2005

**OFFICE OF PETITIONS**

Mail Stop Petition  
Commissioner of Patent  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT  
ABANDONED UNINTENTIONALLY UNDER 37 C.F.R. § 1.137(b)**

The above-identified application became abandoned for failure to file a timely and proper reply to the Office action mailed on October 22, 2003, which sets a three (3) month period for reply. The abandonment date of this application is April 11, 2004 (i.e., the day after the expiration date of the period set for reply plus any extensions of time obtained therefor).

Applicant hereby petitions for revival of this application.

**1. Petition fee**

Please charge the fee of \$1,500.00 required under 37 C.F.R. § 1.17(m) to Deposit Account No. 03-3325. A duplicate copy of this petition is attached.

**2. Reply and/or fee**

The issue fee and Publication Fee of \$1,630.00 has been paid previously on December 1, 2004. **Copy of paid Issue/Publication Fee enclosed herewith.**

**3. Terminal disclaimer with disclaimer fee**

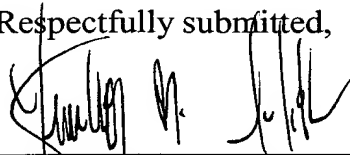
Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.

04/19/2005 SFELEKE1 00000008 033325 09812108

01 FC:1453 1500.00 DA

4. **Statement.** The entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition under 37 C.F.R. § 1.137(b) was unintentional. Applicant is submitting complete Formal Drawings in response to the Notice of Allowability that was mailed on October 22, 2003.

Respectfully submitted,



Timothy M. Schaeberle  
Reg. No. 34,424  
Corning Incorporated  
SP-TI-03-01  
Corning, NY 14831  
607-974-3164

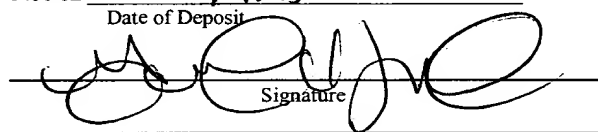
Date: \_\_\_\_\_

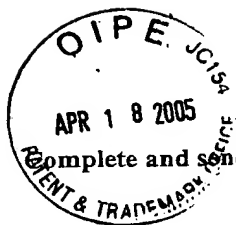
4/13/05

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8:**

I hereby certify that this paper and any papers referred to herein are being deposited with the U.S. Postal Service, as first class mail, postage prepaid, addressed to the Mail Stop Petition, Commissioner of Patent, P.O. Box 1450, Alexandria, VA 22313-1450 on 4-13-05

Date of Deposit

  
Signature



## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: MailMail Stop ISS FEE  
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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

22928 7590 10/22/2003

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## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

Colleen E. Doherty	(Depositor's name)
<i>Colleen E. Doherty</i>	(Signature)
November 26, 2003	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/812,108	03/19/2001	Venkata A. Bhagavatula	SP00-095	9668

TITLE OF INVENTION: OPTICAL WAVEGUIDE LENS AND METHOD OF FABRICATION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	01/22/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
PRASAD, CHANDRIKA	2839	385-035000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Philip G. Alden2 Timothy M. Schaebe

3 \_\_\_\_\_

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Corning Incorporated

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Corning, New York

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee☒ Publication Fee☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized to charge the required fee(s), or credit any overpayment Deposit Account Number 03-3325 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature) <i>[Signature]</i>	(Date) 11/24/03
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NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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Division SM Date 11-26-03  
INVENTOR BHAGAVATULA et al.  
CASE No. SP00-095  
SERIAL No. 09/812,108  
FILED 3-19-01  
ATTORNEY TMS

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- ☐ \_\_\_\_\_ SHEETS OF DRAWINGS

FORMAL/INFORMAL

FIGURE NO. 1

☐ ASSIGNMENT

☐ DECLARATION/OATH

☐ POWER OF ATTORNEY

☐ PRIOR ART STATEMENT

☐ LETTER - CHARGE DEPOSIT ACCOUNT

☐ AMENDMENT

☐ RESPONSE

☒ ISSUE FEE

☐ CERT. OF CORR.

☐ NOTICE OF ERROR

☐ REQUEST

☐ DEMAND

☐ INVITATION TO CORRECT

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ET-149137